

Frequently Asked Questions and Suggested Best Practices Related to Generative Artificial Intelligence in the Legal Profession

[Carolyn Elefant](#) (LawOfficesofCarolynElefant.com, MyShingle.com)

January 7, 2024



Image generated by ChatGPT

Ever since the launch of ChatGPT in November 2022 took [generative artificial intelligence](#) mainstream, lawyers have been scrambling to keep up with ever-changing legal and ethics requirements on matters like copyright infringement, privacy, ethics obligations and judicial practices. Because of the pace of change, frequently asked questions (FAQ) and proposed best practices offer a superior format to an exhaustively-researched law review article for communicating and updating the state of the law, while providing lawyers with guidance for using generative AI responsibly to benefit clients.¹

I. Copyright, Plagiarism and Gen AI

Can I be sued for copyright infringement for use of output produced by generative AI?

Unlikely. Although copyright lawsuits by various artists, authors and most recently, the New York Times have proliferated against companies like OpenAI, Microsoft and Google which offer generative AI services, individual users haven't been targeted. The copyright lawsuits against AI companies consist of two main allegations: first, that GPT-4 and other models accessed and used large amounts of original content as input for training without permission, and second, the AI models produce content that is either identical or so

¹ Sources for this paper are contained in this Google Drive: Sources: https://drive.google.com/drive/folders/10HycRQyHswB001vpkgjme1pY3R_LhfLM?usp=drive_link

similar to the original work that infringes on copyright.² Individual users wouldn't face liability for training claims, while the chance of an individual user inadvertently generating infringing content is low. Moreover, many AI vendors have promised to indemnify customers sued for copyright infringement resulting from use of the product (even if subject to loopholes and other limitations).³

Even if I don't violate copyright laws, is it plagiarism to use generative AI content?

Technically yes, in extreme cases. Plagiarism is defined as the act of passing someone else's work off as your own without attribution. So if you were to cut and paste AI-generated output, without any modification or attribution, into a blog post or brief, it would technically fit the definition of plagiarism. Plagiarism issues aside, cutting and pasting AI-generated content is a bad idea. Although Google doesn't ban AI-generated content from search engines, it may be considered of lesser quality and ranked lower.⁴ Moreover, Open AI's Terms of Use prohibit users from representing that AI-generated content was human-generated when it was not.⁵

Can I copyright blog posts, logos or other content created by generative AI?

Under current law, no. The U.S. Copyright Office still believes that to qualify as a work of authorship, a work must be created by a human being,"⁶ though it is re-examining this position in a Notice of Inquiry issued in August 2023.⁷ But for now, AI-generated works won't qualify for copyright protection, so if you use AI to create a logo or book, others will be able to copy it too.

Best Practices to Avoid Infringement and Plagiarism:

- Employ generative AI for less creative tasks like case summaries or chart-creation or for less-than-final work like first drafts and outlines.
- Never cut and paste generated AI content but make it your own, by injecting your own commentary, spin and unique word choice.

² For summary background on copyright litigation, see [AI's Future Hinges on One Thorny Issue](#), Washington Post (Jan. 4, 2024) and [How Copyright Law Could Threaten the AI Industry in 2024](#), Reuters (Jan. 2, 2024).

³ [AI Vendors Promised Indemnification But Details Are Messy](#), Reuters (Jan. 2, 2024).

⁴ [Google Policy on AI-Generated Content](#) (February 2023).

⁵ [Open AI Terms of Service](#) (effective January 2024).

⁶ [Copyright Review Board](#) (December 11, 2023)(rejecting copyright application for work co-authored by AI).

⁷ See <https://www.copyright.gov/ai/>.

- When content can't be easily modified (such as images) and will be widely visible, consider using an image-creation platform like Adobe Firefly which trains only on licensed work.
- Disclose use of AI when used to produce substantial portions of work.
- Use online tools like <https://www.zerogpt.com/> to check content created with AI for plagiarized content or similarity to AI.
- Require employees and contractors to disclose the extent to which they relied on AI to avoid liability for their work, or ensure any works-for-hire they produce are eligible for copyright protection.

II. Privacy and Confidentiality

Does using generative AI pose a threat to data privacy and attorney-client confidentiality?

Yes, if used carelessly. Privacy and confidentiality concerns may arise when AI users input their data into AI models. In a highly publicized case in early 2023, a Samsung employee leaked proprietary trade data to ChatGPT, where it was publicly accessible.⁸ Still, even with privacy concerns, banning or avoiding use of generative AI is overkill and deprives lawyers and their companies access to beneficial tools.

Best Practices to Ensure Privacy and Confidentiality:

- **Absolutely no use of personal identifiable information (PII) or trade secrets:** Sharing highly protected information with most AI platforms (except for internally developed models) is asking for trouble, and generally unnecessary. Limit usage to anonymized and more general inquiries - akin to what you might ask on a listserv or when seeking advice from a colleague.
- **Understand TOS:** It's imperative to review the terms of service for AI platforms. For example, the [TOS for Anthropic's Claude](#) say that the service does not train on non-public input while [OpenAI TOS provides for opt-outs](#).
- **Use Commercial Products Developed for Legal:** Where heightened protection is warranted, select commercial AI products developed specifically for lawyers which will offer more robust protections (though you'll still want to review the TOS). For example, the [Casetext TOS](#) warrant at least a commercially reasonable standard of care to protect confidential information.
- **Consult Clients, as Needed:** If you adopt these best practices, routine disclosure of AI use for most clients probably isn't necessary. That said, if you represent

⁸ See [Samsung Bans Use of Chat GPT](#), Tech Crunch (May 2, 2023).

corporate clients with their own internal AI use practices or defendants in highly sensitive matters, you may need to disclose and/or seek consent for AI use.

- **Protect Clients From Third-Party AI Disclosures: Sometimes**, clients may agree, or be compelled to share trade secrets or other confidential information as part of deal negotiations or discovery subject to a non-disclosure agreement (NDA). Be sure that the NDA addresses whether, and what types of generative AI products may be used for review and analysis of confidential information (see this [example](#)).

III. Duty to Supervise

Given that many AI products are known to produce inaccurate or ‘hallu-citations,’ isn’t it a waste of time to use it?

No, provided you check the work produced and understand the limitations. By now, most lawyers are familiar with the case of New York lawyer Steven Schwartz who was sanctioned for citing fake cases that he admitted were generated by ChatGPT.⁹ More recently, former Trump counsel Michael Cohen passed along to his attorneys citations found with Google Bard that made it into the court filings.¹⁰ Though AI captured the lede, these mishaps resulted not from unreliable modern tech but old-fashioned, sloppy lawyering: the lawyers never read the cases that AI generated. Just as competent lawyers read the cases referenced in a headnotes summary or review a law clerk’s research, the same practices govern use of AI.

Best Practices for Supervision and Use of Generative AI:

- **Understand Limitations:** To avoid inappropriate AI usage, lawyers should understand its limitations. Generally speaking, consumer-facing platforms like ChatGPT or Claude aren’t any more useful for legal research than running a general Google search or Wikipedia. But they’re still useful for summarizing complicated cases, translating legalese, issue-spotting for outlines, or drafting marketing and website content and correspondence and discovery requests. For “bet the company” litigation or any court filings, select commercial tools developed for legal.
- **Check for Bias:** Many AI tools have built-in gender and racial biases that sadly, reflect current social norms. For example, an AI program prompted to describe an

⁹ [Mata v. Avianca, Inc.](#), 22-cv-1461 (PKC) (S.D.N.Y. June 22, 2023)

¹⁰ [Michael Cohen Unwittingly Cites Fake AI Cases](#), NPR (December 30, 2023).

attorney will invariably use a masculine pronoun, or generate an image of an attorney as a white man. Other responses may yield similar stereotypes, so it's important to scrutinize results and repeat prompts for more suitable output.

- **Don't Trust Until Verified:** For now, presume that any content generated by AI is presumptively untrustworthy until verified. This applies to all content, even summaries, because sometimes AI misses a subtle point or confuses a holding. That said, accuracy is rapidly improving, and results generated in 2024 are vastly more on-point than those from a year ago.
- **Supervise AI Use:** Supervision of AI use not only means reviewing and checking all sources produced and revising prompts but also overseeing AI use by team members. Ensure that other attorneys in your firm and staff are properly trained on AI use and maintain a record of prompts for your review and certifies that they verified the outputs.

IV. AI and Disclosure Requirements

Must AI be disclosed in court filings? Not universally, but some courts require disclosure through standing orders.¹¹ And a Montana federal judge prohibited an attorney granted *pro hac vice* admission from using generative AI programs like ChatGPT to draft briefs.¹²

Must AI use be disclosed to clients? No, but may be advisable in some cases. Currently, no statutes or ethics rules require disclosure of generative AI use to clients. And traditionally, lawyers have not shared with clients the tools and products used to research or draft contracts or court filings. That said, the [California State Bar's Practical Guidance on AI](#) (2023) suggests that lawyers might consider communicating about AI use to clients depending on risk involved.

Best Practices for Disclosure:

- **Be aware of court orders:** Learn about court rules or standing orders regarding compulsory AI disclosure so you can comply.
- **Be aware of platform terms of service:** As noted earlier, the terms of service for some generative AI platforms like OpenAI prohibit users from attributing ChatGPT produced content to human authorship. So if you're planning to cut and paste ChatGPT content verbatim in a brief or client communication, you may need to disclose under the TOS even if not required by the court or a client.

¹¹ [AI Standing Orders Proliferate](#), Bloomberg (November 30, 2023).

¹² [See Alario and TikTok v. Knudsen](#), Case 9:23-cv-0061-DWM (9/14/2023)(Pro Hac Vice Order).

- **Be forthright about AI use when asked by a client:** If a client expressly asks about your firm's AI use, you must disclose, or at least, explore why the client wants to know. In some cases, a client may have proprietary trade secrets or a corporate policy on AI use that it wants to make your firm aware of.
- **Exercise your professional judgment:** But for the exceptions above, disclosing AI use to clients is entirely your call. For some firms, AI is a unique selling proposition worth highlighting on a website and engagement agreement. For others, AI is a tool of the trade just like a computer or word-processing program and outside the realm of what clients need to know. And some firms come out in the middle – sharing use of AI in highly sensitive or complex cases, but not for routine, low risk cases. Any approach works so long as it serves your firm and your clients.

V. Unauthorized Practice of Law

Does use of generative AI to operate a chatbot or create and complete forms for clients constitute unauthorized practice of law (UPL)?

The answer will depend largely on the use case and state law. For example, offering an AI-powered chatbot at a law firm website to collect factual information to prequalify a lead, with appropriate disclaimers (not a human, responses are not legal advice, confidentiality not guaranteed) would likely pass muster under [Florida's proposed generative AI ethics rules](#), as well as other states. As to an AI-powered product that creates an LLC or generates a bankruptcy petition, UPL generally hinges on whether the product provides legal advice. A fill-in the blank form therefore wouldn't constitute UPL but disturbingly, several courts have found that software that actively assists users in properly completing a bankruptcy petition or a comparable type of legal filing is UPL.¹³ But most of these products were programmed by humans and don't rely on generative AI (which generates responses based on language patterns), so the caselaw may evolve.

Best practices to avoid UPL:

- **Disclose and disclaim:** To avoid UPL for chatbots, disclose and supervise use and employ them for fact gathering. Also warn that any responses are not offer legal advice.
- **Seek ethics guidance:** Law on UPL has always been opaque, and generative AI adds another wrench. To play it safe, consult ethics attorneys or the state bar hotline on client-facing generative AI products that your firm may want to offer.

¹³ See [In re Peterson](#), No. 19-24045 (Bankr. D. Md. June 1, 2022) (*extensive analysis of software products as UPL*).

VI. Legal Ethics

Has the ABA or any state bar issued an ethics opinion on AI use by lawyers?

No rules have been formally adopted. But guidance is available from two states. In 2023, the California published [‘practical guidance on use of generative AI in law practice](#), and Florida issued [Proposed Advisory Opinion 24-1](#) on generative AI use by lawyers. A summary of the rules, generated by Claude is provided at the end of this article.¹⁴

If my bar hasn’t issued ethics guidance, does that mean that I can’t use generative AI?

Absolutely not. Although the California and Florida proposed rules help, generative AI doesn’t require special regulation. Ethical use of AI can be accomplished by adhering to existing ethical obligations, such as the duty of tech competence, confidentiality, supervision and avoiding deceptive practices,, harassment or bias. The ABA and other state will likely follow California and Florida – so if you adopt those practices, you can minimize risk.

¹⁴ It should go without saying that the chart below is only a summary generated by AI and while checked for accuracy, due to the limitations inherent in summaries, should not be relied upon for decision-making without reading the actual rules on which it was based.

Here is a table comparing the California and Florida rules on lawyers' use of AI:

Topic	California Rule	Florida Rule	Comparison
Confidentiality	Must not input confidential client information into AI system without security protections. Must anonymize client data used.	Lawyers must understand AI system used and take reasonable precautions to avoid disclosure of confidential information. Obtain informed consent if using third-party AI involving confidential information.	Both emphasize confidentiality protections but California provides more specific guidance on not inputting confidential information and anonymizing data. Florida focuses more on due diligence in evaluating AI system security.
Competence and Diligence	Must understand AI limitations, not overrely on AI. Critically review AI outputs.	Same duty to understand benefits and risks of technology use.	Similar guidance on ensuring competence and avoiding overreliance on AI.
Supervision	Firms must adopt policies on proper AI use and train lawyers/staff. Lawyers must review work product.	Lawyers must ensure conduct of "nonlawyer assistants" (including AI) is compatible with ethics rules. Must always review AI work product.	Both address need for policies and procedures governing AI use. Florida specifically compares oversight of AI to that of human nonlawyer assistants.
Communication with Clients	Consider informing clients on AI use, risks and benefits. Review client guidelines on AI use.	Florida does not specifically address communication with clients on AI use.	California provides more detailed guidance on potentially informing clients regarding AI use.
Billing	Can charge for lawyer time spent using AI, but not time saved. Must communicate fee basis.	Lawyer must inform client of intent to charge for AI costs. Cannot inflate bills through efficiency gains.	Similar prohibition on charging for time saved through efficiency gains. Florida adds details on disclosure of AI costs.
Candor to the Tribunal	Review outputs for errors before court submission. Check court rules for required AI use disclosure.	Florida does not specifically address candor to tribunals regarding AI.	California provides guidance lacking in Florida opinion.



Copy Retry



